



**CERTIFICATE OF COMPLIANCE TEMPLATE
SYSTEMS
(Codes MSP, MST, MSMC)**

**Superintendency of Gambling Casinos
(SCJ)**

CHILE

CERTIFICATE OF COMPLIANCE

Certificate date:	
Certified code:	

I. GENERAL INFORMATION

Identification of the jurisdiction for which the certificate is issued:	Mrs. Vivien Villagrán Acuña, Superintendent SUPERINTENDENCY OF GAMBLING CASINOS Chile
Certifying entity:	
Certifying entity representative:	
Evaluating entity:	
Evaluation manager:	
Evaluated gaming device:	Progressive System for Slot Machines (MSP) Ticket in/Ticket Out System (MST) Online Monitoring and Control System (MSMC)
Name and version of the gaming device evaluated:	
Manufacturer:	
Manufacturer's Country of Origin:	

Technical standards evaluated and result of the evaluation:

Assessed Standards	Evaluation Results

NAME AND SIGNATURE LABORATORY REPRESENTATIVE

SCJ certificate format - Systems

Filling instructions

"Certified date"

Show the date the certificate is issued.

"Certified code"

Show the code or number that the certifying entity assigns to the certificate.

"Identification of the jurisdiction for which the certificate is issued":

Show the name of the Superintendent, position, institution and country. This is, like the expression:

Mrs. Vivien Villagrán Acuña, Superintendent
SUPERINTENDENCY OF GAMBLING CASINOS
Chile

"Certifying entity":

Show the name of the certifying entity or laboratory that is accredited by the Superintendency of Gaming Casinos.

"Representative of the certifying entity":

Show the name of the representative of the laboratory or certifying entity that is accredited by the Superintendency of Gaming Casinos, which corresponds to the person who is responsible for the certificate issued.

"Assessment entity":

Show the name of the laboratory headquarters where the tests and/or essays are carried out to evaluate the gaming device.

"Evaluation manager":

Show the name of the person in charge of the evaluation process of the gaming device.

"Evaluated gaming devices":

Show the name and code of the evaluated or certified gaming device, according to the Superintendency's nomenclature. For example: Progressive System for Slot Machines (MSP), Ticket In/Ticket Out Systems (MST), Online Monitoring and Control System (MSMC).

If in the certificate more than one gaming device is to be certified, it must be included as many gaming devices' names and codes as they are in the certificate.

"Name and version of the gaming device evaluated":

Show the commercial name of the evaluated or certified gaming implements and, if it exists, the version or alphanumeric code that identifies the gaming implement.

If in the certificate more than one gaming device is to be certified, it must be included as many gaming devices' names and codes as they are in the certificate.

"Manufacturer":

Show the name of the manufacturer of the gaming device(s).

"Country of origin of the manufacturer":

Show the name of the country of origin of the manufacturer of the game equipment(s).

"Evaluated Standards":

SCJ certificate format - Systems

List the standards that were evaluated for the gaming implement (s) under review. For Progressive Systems for Gambling Machines (MSP) it should be indicated: Standards for Progressives Systems, Superintendency of Gaming Casinos (SCJ) - Chile, approved by Resolution No. 623 of December 27, 2013, modified by Exempt Resolutions Nos. 84, 127, 289, 52 and 219, of April 1, June 16 and November 25, 2014, March 20, 2015 and April 1, 2019, all of the SCJ. For the Ticket In /Ticket Out System (MST) it should be indicated: Standards for Ticket In /Ticket Out System (TITO), Superintendency of Gaming Casinos (SCJ) - Chile, approved by Resolution No. 623 of December 27, 2013, as amended by Exempt Resolutions Nos. 84, 127, 289 and 52, of April 1, June 16 and November 25, 2014 and March 20, 2015, all of the SCJ. For Online Monitoring and Control Systems (MSMC) it should be indicated: Standards for Online Monitoring and Control System, Superintendency of Gambling Casinos (SCJ) - Chile, approved by Resolution No. 623 of December 27, 2013, modified by Exempt Resolutions Nos. 84, 127, 289, 52 and 219, of April 1, June 16 and November 25, 2014, March 20, 2015 and April 1, 2019, all of the SCJ.

"Evaluation result":

Show the result of the laboratory evaluation to the evaluated game equipment (s), considering the options of: Compliant and Non-compliant.

Following the table, the name and signature of the laboratory representative must be entered.

If any of the data in the General Information box is not used, it must be completed with the phrase "Not applicable."

I. SYSTEM

1. Commercial name of the evaluated system

Commercial name	Version

Filling instructions

The table must indicate the commercial name of the evaluated system. If it exists, the version or alphanumeric code that identifies the respective system must be indicated. As many system names must be added, as systems are being evaluated.

The commercial name is expected to be unique, since considering the available information, if it is repeated for this or another manufacturer, the Superintendency will not be able to homologate the gaming device.

2. System overview

Filling instructions

Submit a general description of the evaluated system, indicating its main characteristics and components or software modules.

In the case of Online Monitoring and Control Systems, at the end of this title 2 of the compliance certificate, add the following sentence in relation to the requirements of numeral "3.8 Requirements for connection with other systems" of the Standards for Online Monitoring and Control Communication Systems:

"The online monitoring and control system evaluated in this certificate only grants read permissions to auxiliary systems to the SMC, in such a way that it is not possible for them to modify SMC values, unless the necessary permissions are granted and a protocol is used. valid communication. Furthermore, this evaluated system allows auxiliary systems to enter additional information to the SMC but does not allow them to modify data communicated by slot machines", or another equivalent phrase.

System highlights

Filling instructions

Include specific comments to the system that are considered relevant.

Show when the submitted version of the system that is certified replaces a previously certified version and if it is recommended that it be withdrawn from the market (revoke). Also, address when software components or modules are added, removed and/or replaced. Also, if it is considered pertinent, detail some aspects of the modifications in relation to the previous versions.

Mark the special notes in a visible way to facilitate their identification when reading the certificate.

3. Software components associated with the evaluated system

The components or software modules associated with the evaluated system are the following:

Component Identification	Version	Function	Compilation Date

Component Identification	Storage Media	Path	Storage Media Location

Component Identification	Signature Type	Signature. Name of the Tool Used	Signature Type	Signature. Name of the Tool Used

Filling instructions

The table should add the components or software modules associated with the system that has been evaluated.

- In "Component Identification" include the identification codes of the components or associated software modules submitted.
- In "Version" the alphanumeric code that identifies the version of the evaluated software component or module must be indicated.
- Under "Function", the functionality corresponding to the evaluated associated software component or module must be entered.
- In "Compilation date", you must indicate the compilation date of the component or software module.
- In "Storage Media", the name of the storage media must be identified.
- In "Path", the necessary path to reach the storage media where the software component or module is located and must be identified, before and/or after the software installation, in such a way that the relevant path is known to obtain from the respective verification signature securely.
- Under "Storage Media Location", the physical location of the storage media must be identified.
- In "Signature Type" and "Signature. Name of the Tool Used", add all the types of signatures or electronic hashes that can be generated for each software. Regarding the "Name of the

Tool Used", indicate the name of the application or software that the laboratory uses to generate or verify the respective electronic signature.

Description of software components

Component name:	
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Filling instructions

Show in the "component name" box the name of the component or software module that will be described below.

Submit a summary description of the software component or module indicating its main and secondary functions within the system, relevant features and links with other modules and/or systems.

Add as many boxes and descriptive paragraphs of components, as components or software modules have been specified in the main box of this numeral 3.

Highlights regarding the software components associated with the evaluated system

Filling instructions

Include specific comments to the software components or modules submitted in this certificate, which are considered relevant.

Show the systems with which the components or software modules identified in this section are compatible.

Show when the submitted version of some of the components that are evaluated replaces a version of the component that is part of a previously certified system and if it is recommended that it be withdrawn from the market. In addition, if it is considered pertinent, detail some aspects of the modifications in relation to the previous versions of any of the components submitted.

Mark the special notes in a visible way to facilitate their identification when reading the certificate.

4. Compatible communication protocols

The compatible communication protocols that are used between the different components of the evaluated system and/or with other systems correspond to the following:

Commercial Name	Version	Communications Transmission

Filling instructions

Show the commercial name, version and path or transmission path of the communications for each of the protocols compatible with the evaluated system.

- In "Commercial Name" include the commercial name of the communication protocols submitted.
- In "Version" the alphanumeric code that identifies the version of the communication protocol submitted must be indicated.
- In "Communications Transmission", the path or transmission path used by the compatible protocol must be entered. Among others, consider "from the gaming machine to the interface element", "from the interface element to the data collector", "from the data collector to the front end processor", "from the front end processor to the system", "from the interface element to the system", "from the data collector to the system".

In particular, for Online Monitoring and Control Systems (SMC), indicate the valid communication protocol used from the interface element to the SMC, used to send significant events, according to numeral 3.4.3 of the Standards for Online Monitoring and Control Systems.

5. Supported hardware or software components used in this evaluation

Filling instructions

In a complementary way, if deemed pertinent, the main hardware or software components may be indicated, other than those listed in the table in numeral 3, with which the certified systems are compatible and which are used to carry out the corresponding evaluation or certification.

6. Particular conditions for Progressive Systems certificates

Filling instructions

Only in the case that a Progressive System is being evaluated in the certificate, this number and associated information must be included.

Show whether the progressive system can support or not the ability to transmit all the progressive configuration information and the progressive well to the Online Monitoring and Control System (SMC), according to numeral 3.6.4 of the Standards for Progressive Systems.

7. Particular conditions for Ticket In /Ticket Out Systems certificates (TITO)

The Ticket In /Ticket Out System (TITO) evaluated consider at least the following reports with ticket information:

	Name Of the report according to standards	Report name according to evaluated system
1.	Ticket issuance report (4.-1.)	
2.	Ticket payment report (4.-2.)	
3.	Ticket financial obligation report (4.-3.)	
4.	Detailed report of transactions (4.-4.)	
5.	Cash report (4.-5.)	
6.	Report of all expired tickets (6.2)	
7.	Report of payable tickets (6.3)	

Filling instructions

Only in the case that a Ticket In /Ticket Out System (TITO) is being evaluated in the certificate, this number and associated information must be included.

Complete the table with the reports or reports required for the TITO System, according to numerals 4 and 6 of the Standards for Ticket In /Ticket Out Systems (TITO).

- In "Name of the report according to standards", include the names or explanations of all the reports or reports specified in number 4. and two reports indicated in number 6.*
- In "Name of the report according to the evaluated system", include the names of the reports or reports used by the evaluated system, which correspond to the information required in the respective reports indicated in the standards.*

Other requirements

Filling instructions

Only in the case that a Ticket In /Ticket Out System (TITO) is being evaluated in the certificate, this number and associated information must be included.

Show how to store any personal information of customers and critical data of these, in the database of the TITO System, as indicated in paragraph 5.4 of the Standards for Ticket In /Ticket Out Systems (TITO).

In addition, in the event that the TITO System and/or the slot machines are not capable of having the capacity to validate the total sum of printed and exchanged tickets associated with the respective movements registered in the slot machines meters, in the certificate should briefly describe the alternative conciliation method approved by the SCJ, in accordance with the provisions of section 5.8 of the Standards for Ticket In /Ticket Out Systems (TITO).

8. Particular conditions for certificates of Online Monitoring and Control Systems

The Online Monitoring and Control System evaluated considers at least the following meters with information from slot machines:

No.	Meter name according to the standards	Meter name according to evaluated system
1	Total in	
2	Total out	
3	Incoming tickets	
4	Ticket in - value	
5	Ticket in - quantity	
6	Ticket out - value	
7	Ticket out - quantity	
8	Transfer of Income from Cashless Account	
9	Transfer of Cashless Account Exit	
10	Large prizes paid manually by gambling staff	
11	Credits collected by the player paid by the gaming staff	
12	Progressive grand prizes paid manually by gaming staff	
13	Progressive prizes paid by the slot machine	
14	Ticket in promotional - value	
15	Ticket in promotional - quantity	
16	Promotional ticket out - value	
17	Promotional ticket out - quantity	
18	Electronic Promotion Entered Not Chargeable	
19	Electronic Promotion Delivered Not Charitable	
20	Bets with Non-collectible Promotional Credits	
21	Games played	

Filling instructions

Only in the case that an Online Monitoring and Control System (SMC) is being evaluated in the certificate, this number and associated information must be included.

Complete the table with the required meters for the SMC, according to numeral 3.2.4 of the Standards for Online Monitoring and Control Systems.

- In "N°", include consecutive numbers from 1 onwards.
- In "Meter name according to standards", include the names of all the meters specified in section 3.2.4 above.
- In "Name of the meter according to the evaluated system", include the names of the meters used by the evaluated system, which correspond to the information required in the respective meters indicated in the standards.

In addition, the Online Monitoring and Control System evaluated consider at least the following meters with progressive's information from slot machines and/or progressive systems:

No.	Name of the counter according to standards	Counter name according to evaluated system
1	Current jackpot value or amount for each progressive	
2	Present value of the hidden or reserve well	
3	Current value or amount of the overflow well, amount that exceeds the ceiling or limit	
4	Successes to the progressive or number of times the progressive was won	

SCJ certificate format - Systems

No.	Name of the counter according to standards	Counter name according to evaluated system
5	Value won, total value of prizes won for this progressive or a history of the last 25 progressive prizes won	
6	Restoration value or amount to which the progressive will be restored after it is obtained, in case it is different from the base or initial value	
7	The participating slot machines	

Filling instructions

Only in the case that an Online Monitoring and Control System (SMC) is being evaluated in the certificate, this number and associated information must be included.

Complete the table with the meters that could be obtained by the SMC, according to numeral 3.7.2 of the Standards for Progressive Systems and in numeral 3.3.15 of the Standards for Random Machines.

- In "N °", include consecutive numbers from 1 onwards.
- In "Name of the meter according to the standards", include the names of all the meters specified in section 3.2.4 above.
- In "Name of the meter according to the evaluated system", include the names of the meters used by the evaluated system, which correspond to the information required in the respective meters indicated in the standards.

The Online Monitoring and Control System evaluated consider at least the following reports with information from slot machines:

	Name of the report according to the standards	Name of the report according to the evaluated system
a)	Report of the main variables for the calculation of Gross Income from Games or Win for each slot machine	
b)	Report of the main variables for the calculation of the Gross Gaming Income or Win for the total number of slot machines in the casino	
c)	Event report on the slot machine	
d)	Report of meters for each slot machine, considering at least those indicated in numeral 3.2.4 of the Standards for Online Monitoring and Control Systems	
e)	Drop comparison report for each media diverted to the storage box or stacker	
f)	Comparison report between what was counted as grand prizes and the actual value	
g)	Comparison report between theoretical withholding and actual withholding with their variations	
h)	Report of significant events for each slot machine	

Filling instructions

Only in the case that an Online Monitoring and Control System (SMC) is being evaluated in the certificate, this number and associated information must be included.

Complete the table with the reports or reports required for the SMC, according to numeral 3.5.5 of the Standards for Online Monitoring and Control Systems.

- In "Name of the report according to standards", include the names or explanations of all the reports or reports specified in section 3.5.5 above.
- In "Name of the report according to the evaluated system", include the names of the reports or reports used by the evaluated system, which correspond to the information required in the respective reports indicated in the standards.

Other requirements

Filling instructions

Only in the case that an Online Monitoring and Control System (SMC) is being evaluated in the certificate, this number and associated information must be included.

Show the minimum time in which the information is refreshed from the gaming devices and the SMC, as well as whether it is configured manually or automatically, as indicated in section 3.2.2 of the Standards for Monitoring Systems and Online Control.

9. Others

Filling instructions

Include other important topics for the evaluated system that have not been previously mentioned, as the laboratory deems pertinent.

10. Procedure to verify or obtain the electronic signature

Filling instructions

Show the steps of the procedure and the tools used by the certifying entity to verify or obtain the signatures or electronic hashes of the certified software components in this document.

11. Background used in the preparation of the certificate

Filling instructions

Show the main information used to prepare the certificate of compliance, among others, manufacturer's technical manuals, technical specifications and others that are considered relevant. Identifying them with the information that is available in this regard, that is, name, date, version or other information that facilitates their identification as a reference.

In this way, a paragraph like the following could be considered: "To prepare this certificate of compliance, the laboratory used the following information **main**:

- **Manufacturer's technical manual: [indicate if a manual provided by the manufacturer was used];**

- *Technical specifications: [identify at least the name of the documents];*
- *[Other documents that the laboratory considers relevant to mention (include examples)]"*

12. Terms and Conditions

Filling instructions

Point out the terms and conditions established by the laboratory.

In this regard, the laboratory must submit the specific terms and conditions for the SCJ to evaluate their relevance.

III. ANNEXES

Attach the annexes indicated for the certificate plus those deemed necessary.