



CERTIFICATE OF COMPLIANCE TEMPLATE

GAMBLING MACHINES OR CABINETS (Codes MM, MMMP)

Superintendency of Gambling Casinos
(SCJ)

CHILE

CERTIFICATE OF COMPLIANCE

Certificate date:	
Certified code:	

I. GENERAL INFORMATION

Identification of the jurisdiction for which the certificate is issued:	Mrs. Vivien Villagrán Acuña, Superintendent SUPERINTENDENCY OF GAMBLING CASINOS Chile
Certifying entity:	
Certifying entity representative:	
Evaluating entity:	
Evaluation manager:	
Evaluated gaming device:	Gambling Machine or Cabinet (MM)

SCJ certificate format - Random Machines or Cabinets

Name and model of the gaming device evaluated:	
Complementary reports evaluated:	
Manufacturer:	
Manufacturer's Country of Origin:	

Technical standards evaluated and result of the evaluation:

Assessed Standards	Evaluation Results

NAME AND SIGNATURE LABORATORY REPRESENTATIVE

SCJ certificate format - Random Machines or Cabinets

Filling instructions

"Certified date"

States the date the certificate is issued.

"Certified code"

Shows the code or number that the certifying entity assigns to the certificate.

"Identification of the jurisdiction for which the certificate is issued":

Indicates the name of the Superintendent, position, institution and country. The following is an example:

Mrs. Vivien Villagrán Acuña, Superintendent
SUPERINTENDENCY OF GAMBLING CASINOS
Chile

"Certifying entity":

This is the name of the certifying entity or laboratory that is accredited by the Superintendency of Gaming Casinos.

"Representative of the certifying entity":

For the name of the representative of the laboratory or certifying entity that is accredited by the Superintendency of Gaming Casinos, which corresponds to the person who is responsible for the certificate issued.

"Assessment entity":

Indicates the name of the laboratory headquarters where the tests and / or essays are carried out to evaluate the gaming device.

"Evaluation manager":

States the name of the person in charge of the evaluation process of the gaming device.

"Evaluated gaming device":

Shows the name and code of the evaluated or certified gaming device, according to the Superintendency's nomenclature. For example: Cabinet or Gambling Machine (MM), Multi-position Gambling Machine (MMMP).

If more than one device is to be approved in one sole certificate, it must be indicated as many names and codes for the devices as they are included on the certificate.

"Name and model of the evaluated gaming device":

This is the commercial name of the evaluated or certified gaming device and, if it exists, the model or alphanumeric code that identifies it..

If more than one device is to be approved in one sole certificate, it must be indicated as many names and codes for the devices as they are included on the certificate.

"Complementary reports evaluated":

If you want to certify memory modules in the certificate, list the types and alphanumeric codes of evaluated or certified memory modules, read-only (ROM) or EPROM, compact flash, hard disk, etc. For example, installation media, server-based installation media, graphics module, jurisdictional chip, operating system, art module, language module, or the like.

"Manufacturer":

Shows the name of the manufacturer of the gaming device (s).

"Country of origin of the manufacturer":

States the name of the country of origin of the manufacturer of the game equipment (s).

"Evaluated Standards":

List the standards that were evaluated for the gaming device (s) under review. For Gambling Machines or Cabinet (MM) and Multiposition Gambling Machines (MMMP) it should be indicated: Standards for Gambling Machines, Superintendency of Gambling Casinos (SCJ) - Chile, approved by Resolution No. 623 of December 27, 2013, modified by Exempt Resolutions N ° s 84, 127, 289, 52 and 219, of April 1, June 16 and November 25, 2014, March 20, 2015 and April 1, 2019, all from the SCJ.

"Evaluation result":

Sates the outcome of the laboratory evaluation for the game equipment (s) under test, considering only the options of: Compliant and Non-compliant.

Following the table, the name and signature of the laboratory representative must be entered.

If any of the data in the General Information box is not used, it must be completed with the phrase "Not applicable."

II. HARDWARE AND ASSOCIATED SOFTWARE

1. Gaming device name

Name	Model or identification code

Filling instructions

Shows the commercial name of the gaming device. If it exists, the model or alphanumeric code that identifies the gaming device must be indicated.

The commercial name is expected to be unique, since considering the available information, if it is repeated for this or another manufacturer, the Superintendency will not be able to homologate the gaming device.

2. Description of the gaming device

Filling instructions

Include a general description of the gaming device, pointing out its main characteristics and components. Include, as appropriate, among others, bill validator, ticket printer, screens, wheel or other devices.

Indicate if it has any special characteristics, such as if it corresponds to a multi-position slot machine.

In the case of multi-position slot machines, describe the components of the gaming platform (central, terminals and communication system), indicating how they work individually and how they are interrelated through the communication system. In addition, the maximum number of stations or slot machines that can be connected to the central element must be indicated, such as: **shared screen**, roulette wheel, horse racing, car racing.

Photographs of the gaming device must be attached, at least 3, from different views, from its exterior and interior, considering some of its main parts or components. Alternatively, the photographs can

be attached in annexes and in the certificate add the phrase "The photographs of the cabinet" XXX "are in the annex" XXX "of this certificate".

Model	Model Description

The main components used by the previously individualized gaming device model correspond to the following:

Component	Part Number or Model Number

Filling instructions

In the first table it shall be listed the gaming device model name, considering the following information:

- In "Model", include the model or alphanumeric code that identifies the gaming device, already indicated in the general information and in number 1 of this certificate.
- In "Model description", describe the model of the gaming device. As an example: Vertical Body Video Game Machine, Horizontal Body Video Game Machine, Video Roulette Machine.

In the second table, the components of the evaluated slot machine or cabinet model must be added. Include, as appropriate, but not limited to, bill validator, ticket printer, displays or monitors, logic box components, communications elements, printed circuit board (PCB) and other additional hardware

- In "Component" indicate the name of the component.
- Under "Part Number or Model Number" indicate the part number or code or model number or code assigned by the manufacturer, as appropriate.

3. Dimensions

Dimension	Value (cm)
High	
Width	
Depth	

Filling instructions

Show the dimensions of the device in centimeters, that is, height, width and depth, without considering additional elements, such as an upper light (Candle) or some additional piece of furniture to support the equipment.

Attach a graphic illustration that shows the slot machine and its dimensions.

4. Identification plate

Filling instructions

State that the machine has an external identification plate, indicating the information contained therein. In addition, it should be noted whether the plate can be removed or not. All the above in relation to the provisions of paragraph 2.3.1 of the standards.

In this regard, the phrase "The machine has an external identification plate that contains the manufacturer's name, the designation or the identification code of the model, the serial number and the date of manufacture. This plate is glued to the cabinet in such a way that it is not possible to remove it without leaving evidence of such a circumstance", or another equivalent phrase.

Photographs of the plate must be attached.

5. Read Only Memories or associated software evaluated

The main memories or Associated software that uses the gaming device model before individualized, evaluated or certified, correspond to the following:

ID Code	Version	Function	Date of Compilation	Position	Storage Media and Size

ID Code	Signature Type	Signature	Signature Type	Signature

Filling instructions

All the ROM and software associated with the gaming device that has been tested and have also been evaluated or certified in this document must be added. Include, as appropriate, among others, ticket validator, ticket printer firmware, operating system and other software that is not part of the game program.

- In "Identification Code" include the identification codes of each associated software that is present.
- In "Version" the alphanumeric code that identifies the version of the software evaluated must be indicated.
- In "Function", the name of each associated software to be evaluated. As an example: Bill validator, Printer.
- Under "Compilation date", you must indicate the compilation date of the software.
- In "Position", the code or socket that identifies the physical location of the software must be indicated.
- In "Storage Media and Size", the name of the storage media and its size must be identified.
- In "Signature Type" and "Signature", add all the types of signatures or electronic hash that can be generated for each software.

The following table must be completed with a brief description of the main functions of each of the ROMs or associated software evaluated.

ID Code	Description

Additionally, if this document is also certifying the ticket printer software, indicate that the information contained in each ticket is in Spanish. The foregoing in relation to what is stipulated in numeral 3.6.7 of the standards, adding the following sentence "The ticket printer software has the ability to print each ticket in Spanish", or another equivalent phrase.

6. Read Only Memories

Filling instructions

Indicate the number and type of memories that a gaming program requires to operate in the slot machine or cabinet, and other relevant memories that are installed in the slot machine.

N°	Function	Position	Board

Filling instructions

In this table, the key memories that a game program requires to operate on the slot machine or cabinet and others, must be added, identifying for each of them its function, position and card.

- In "N°" include a consecutive number from 1 to the number of reports submitted.
- In "Function" include the memory function.
- In "Position" include the position where the memory is located in the slot machine.
- In "Card" include in the name of the board where it is located **the memory** in the slot machine.

7. Random number generator

Filling instructions

In the event that the slot machine, understood only as the part corresponding to the cabinet or hardware, has a hardware based random number generator, the model and location of said generator must be indicated, including the following sentence "The slot machine, understood only as the part corresponding to the cabinet or hardware, has a hardware random number generator, with identification code XXXXX, located at XXXXX", or another equivalent phrase.

Otherwise, that is, if the slot machine does not have a random number generator, the phrase "The slot machine, **understood only as the part corresponding to the cabinet or hardware, does not support or does not have a hardware based random number generator**", or another equivalent phrase. In general, the random number generator is software based, so the corresponding information must be completed in the respective certificate of compliance of the game program and the aforementioned phrase must be indicated in this certificate.

If the machine support or has a random number generator, it is also the circumstance that the game's generator mechanism for the outcome is one whose result depends on random must be made explicit with the following sentence "The evaluated slot machine has a reliable, statistically independent and unpredictable random number generator (RNG)."

Regarding the slot machines for game programs with a programmed prize, the provisions of paragraphs 1.5 and 1.6 of Circular No. 105 of 2020, of this Superintendency, in relation to the location of the randomness mechanism and its connection with the Online Monitoring and Control System (SMC), incorporating the following sentence "In the case of the slot machine for programmed prize game programs, the mechanism that determines the randomness is located at XXXX. Additionally, this machine has the ability to connect to the online monitoring and control system", or another equivalent phrase.

In the case of multi-position slot machines, it must be indicated in which component the random number generator is located, including the following phrase "In the case of the multi-position slot machine, the mechanism that determines the randomness is located at XXXX", or another equivalent phrase.

In the case of electromechanical roulette or other games that use an electromechanical device to determine the result of the game, the circumstance that the mechanism that generates the results of the game must be made explicit must be one whose outcome depends on chance with the following phrase "Electromechanical roulette counts with a reliable, statistically independent and unpredictable random number generator (RNG)", according to section 3.13.1 of the standards.

For electromechanical random number generators (RNG), in the event that the laboratory cannot verify the requirements indicated in paragraphs 3.13.15 and 3.13.16 of the standards, the laboratory must verify the RNG according to its own methodology. In the correspondent certificate, the description of said methodology and the outcome of its application should be explicitly included.

In this case, the following sentence must be added: "It was not possible to verify the requirements of the electromechanical random number generator indicated in paragraphs 3.13.15 and 3.13.16 of the standards, however, the verification was carried out through the following metrologies: XXXXX. The mechanism used to generate random numbers complies with at least a confidence level of XX%", or another equivalent phrase.

8. Ticket validator

Filling instructions

Complete the following table indicating the manufacturer and model of the bill acceptor, in addition, indicate its main components.

Manufacturer	Model	Main components

Following the table, a brief description of how the bill validator works should be added.

9. Cards and other cashless type devices

Filling instructions

In case the slot machine allows a transfer of money by card or other cashless type devices, the laboratory must indicate the results of the evaluation of the method used for it, in accordance with the requirements indicated in sections 3.5.4 and 3.6.4 of these standards.

In this case, in addition, the following sentence should be added: "The slot machine has the ability to transfer money income through a card or other device when using a cashless system. The method used has been determined to be safe and reliable, and meets the requirements 3.5.4 and 3.6.4 of the standards for Gambling Machines", or another equivalent phrase.

Otherwise, the following sentence must be added "The slot machine does not allow or does not have the capacity to transfer money income by means of a card or other device of the cashless type", or another equivalent phrase.

10. Online Monitoring and Control System

Filling instructions

Indicate if the slot machine is capable of connecting to an Online Monitoring and Control System (SMC), including the following phrase **"The evaluated slot machine is capable of connecting to an Online Monitoring and Control System (SMC)"**, or another equivalent phrase.

11. Security

Filling instructions

Include the results and evaluation of the analyzes carried out in the event of a power failure, as indicated in numeral 2.3.63 of the standards.

The following sentence should be included **"In the event of a power failure, and once restored, the slot machine can recover and return to the state just when the outage occurred. Additionally, an uninterruptible power supply (in English Uninterruptible Power Supply or UPS) was not used for this purpose or another equivalent phrase.**

Submit the results of the determination of the amount of non-volatile RAM memory necessary and of the evaluation of its compliance, in accordance with the provisions of section 2.4.1 of the standards, including the following sentence **"The amount of non-volatile RAM memory necessary for the machine to allow the storage and recording of all the information that the relevant software sections of the standard require is XXXX"**, or another equivalent sentence.

In the event that an alternative system for handling non-volatile RAM is available, the result of the evaluation of its security must be indicated, as indicated in section 2.4.6 of the standards, adding the following sentence **"Any manipulation of the RAM memory, including its deletion, is only possible by having access to the logical area in which it is housed, which is secured under lock and key. The slot machine does not have an alternative system for manipulating non-volatile RAM"** or another equivalent phrase.

Or, as the case may be, the following sentence should be added **"The safety of the alternative RAM memory manipulation method was evaluated by the test laboratory, which in this case is handled as follows: XXXXX"**, or another equivalent sentence.

12. Tests developed by other entities

Filling instructions

In the event that tests or trials are carried out by other entities, that is, they are not carried out by the laboratory that evaluates the gaming device, each of these tests and the identification of the entities that performed them must be indicated. In addition, if so required by the "Standard for Gambling Machines" or if it is not a requirement and the certifying entity wants to submit it, a simple copy of the test certificate of the other entity must be attached in annexes.

Among others, we can consider the tests of "Liquid Spills" (section 2.3.16 of the Standards of Random Machines ") and of "Connectors, fuses, power cable and on / off switch (On / Off)" and "Electrical safety" (sections 2.3.44 to 2.3.52 and 2.3.53 to 2.3.60 of the standards). In the event that the certificate of these tests is provided by the manufacturer and is in a language different than Spanish, its translation into Spanish must be provided by the manufacturer.

On the other hand, in the event that tests or essays are not carried out by other entities, the phrase **"Regarding the gaming device evaluated in this certificate, tests or trials are not performed by other entities"**, or another equivalent phrase must be included.

13. Notes regarding the gaming device

Filling instructions

Include specific observations to the gaming device that are considered relevant.

Indicate when the hardware that is certified replaces another previously certified and if it is recommended that it be withdrawn from the market (revoke). In addition, if it is considered pertinent, detail some aspects of the modifications that are not contained in the previous paragraphs of this certificate.

Mark the special notes in a visible way to facilitate their identification when reading the certificate.

14. Others

Filling instructions

Include other important topics for the evaluated gaming device, among others:

- *Indicate if any message directed to be viewed by the player, contained in the slot machine or cabinet, on the displays or in any area of the machine, regardless of what is shown on the screens, is written, at least, in the Spanish language, in a correct way regarding its grammar and syntax, as stipulated in numerals 2.3.2, 4.2.3 and 4.2.7 of the standards.*

15. Background used in the elaboration of the certificate

Filling instructions

Indicate the main information used to elaborate the certificate of compliance, among others, manufacturer's technical manuals, technical specifications and others that are considered relevant. Identifying them with the information that is available in this regard, that is, name, date, version or other information that facilitates their identification as a reference.

*In this way, a paragraph like the following could be considered: "To prepare this certificate of compliance, the laboratory used the following information **main:***

- ***Manufacturer's technical manual: [indicate if a manual provided by the manufacturer was used];***
- ***Technical specifications: [identify at least the name of the documents];***
- ***[Other documents that the laboratory considers relevant to mention (include examples)]"***

16. Terms and Conditions

Filling instructions

Address the terms and conditions established by the laboratory.

In this regard, the laboratory must submit the specific terms and conditions for the SCJ to evaluate their relevance.

III. ANNEXES

Attach the annexes indicated for the certificate plus those deemed necessary, such as the certificates of other certifying entities.